

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: To Be Assigned

Examiner: To Be Assigned

In Re PATENT APPLICATION Of:

Applicants : Shuichi Nawae et al. )  
Serial No. : To Be Assigned )  
Filed : November 5, 2003 )  
For : OPTICAL MODULE AND METHOD )  
FOR MANUFACTURING THE SAME )  
Attorney Ref. : KAW 106 )

**INFORMATION  
DISCLOSURE  
STATEMENT**

November 5, 2003

Commissioner for Patents  
P. O. Box 1450  
Alexandria VA 22313-1450

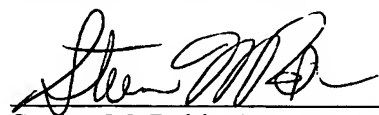
Sir:

This is an information disclosure statement submitted in compliance with the timing requirements of 37 C.F.R. §1.97(b)(1).

Attached are copies of two Japanese publications. Any relevance of the Japanese publications can be gleaned from the attached English-language Abstracts, and from page 2 of the present application where these documents are discussed. The documents are listed on the attached Form PTO-1449.

Since this Information Disclosure Statement is being filed with the application, no certification or fee is required, and the requirements of 37 C.F.R. §§1.97 and 1.98 are deemed to be fully met as to the documents submitted. Consideration of the submitted document is respectfully requested.

Respectfully submitted,



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November 5, 2003  
Date

SMR:tl

FEE ENCLOSED: \$ 0  
Please charge any further  
to our Deposit Account  
N. 18-0002

<b>FORM PTO-1449</b>  <b>INFORMATION DISCLOSURE STATEMENT</b>				Atty Docket  <b>KAW 106</b>		Application No.  <b>To Be Assigned</b>	
				Applicant  <b>Shuichi Nawae et al.</b>			
				Filing Date  <b>November 5, 2003</b>		Group Unit  <b>To Be Assigned</b>	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
<b>FOREIGN PATENT DOCUMENTS</b>							
		Document Number	Date	Country	Class	Sub-Class	Translation
	AI	07-333472	12/22/95	Japan			Abstract
	AJ	06-281846	10/07/94	Japan			Abstract
	AK						
	AL						
<b>OTHER (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AM						
	AN						
	AO						
	AP						
Examiner					Date Considered		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.							